

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Paper No. 6

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OFFICE OF PETITIONS

In re Application of

Imran, Bremsteller, Husain, and

: DECISION REFUSING STATUS Ivey UNDER 37 CFR 1.47(a)

Application No. 09/903,326

Filed: 11 July, 2001

Attorney Docket No. UNIQA-PPA3

This is in response to the petition filed under 37 CFR 1.47(a) on 24 January, 2002.

The petition is **DISMISSED**.

Rule 47 applicant is given TWO MONTHS from the mailing date of this decision to reply, correcting the below-noted deficiencies. Any reply should be entitled "Request for Reconsideration of Petition Under 37 CFR 1.47(a)," and should only address the deficiencies noted below, except that the reply may include an oath or declaration executed by the non-signing inventor. FAILURE TO RESPOND WILL RESULT IN ABANDONMENT OF THE APPLICATION. Extensions of time may be obtained in accordance with 37 CFR 1.136(a).

The above-identified issue application was filed on 11 July, 2001, without an executed oath or declaration. Accordingly, on 27 August, 2001, a Notice to File Missing Parts was mailed, requiring additional claim fee(s), an executed oath or declaration, and a surcharge for their late filing. In response, on 24 January, 2002, petitioners filed the present petition, accompanied by a declaration naming Imran Sharif, John Bremsteller, Shabbir Syed Husain, and Glen Edward Ivey as joint inventors and signed by joint inventors Sharif and Husain on behalf of themselves and joint inventors Bremsteller and Ivey, the additional claim fee(s), surcharge, and petition fee. A

request and payment of the fee for a two (2)-month extension of time were also submitted.

Petitioners assert that a copy of the application papers were sent to the non-signing joint inventors. Petitioners further state that joint inventor Bremsteller did not respond, while joint inventor Ivey sent back a written refusal to sign the declaration.

A grantable petition under 37 CFR 1.47(a) requires:

- (1) proof that the non-signing inventor cannot be reached or located, notwithstanding diligent effort, or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings);
- (2) an acceptable oath or declaration in compliance with 35 U.S.C. §§ 115 and 116;
 - (3) the petition fee;
- (4) a surcharge of \$130 or \$65 (small entity) if the petition and/or declaration is not filed at the time of filing the application, and

(5) a statement of the last known address of the non-signing inventor.

The petition lacks item (1). In that petitioners state that joint inventor Ivey returned a letter indicating his express refusal to sign, petitioners must provide a copy of that written refusal with any renewed petition. If the refusal was made orally to a person, then that person must provide details of the refusal in an affidavit or declaration of facts.

Further correspondence with respect to this matter should be addressed as follows:

By mail: Assistant Commissioner for Patents

Box DAC

Washington, D.C. 20231

By FAX: (703) 308-6916

Attn: Office of Petitions

By hand: Crystal Plaza Four, Suite 3C23

2201 S. Clark Place

Arlington, VA

Telephone inquiries related to this decision should be directed to the undersigned at 703-308-6918.

Douglas I. Wood
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Office of Petitions
Office of the Deputy Commissioner
for Patent Examination Policy